

Regular polytopes with few flags

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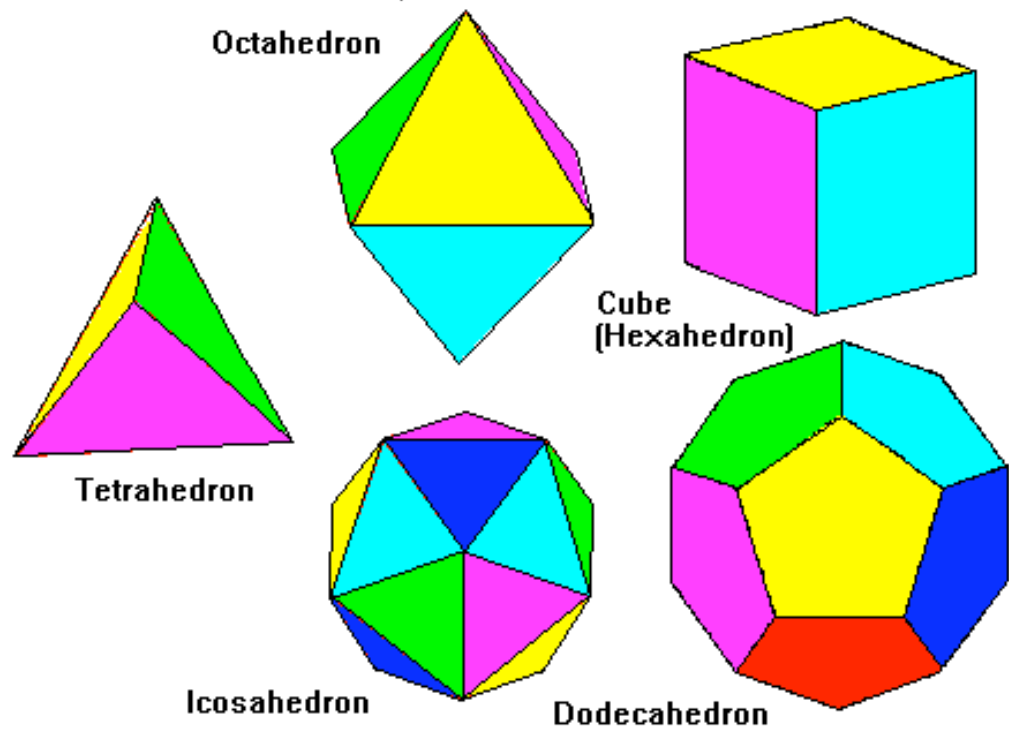
Introduction: Rotary and regular maps

A **map** M is a 2-cell embedding of a connected graph or multigraph (graph with multiple edges) on a surface, dividing the latter into simply-connected regions called the **faces**.

Every automorphism of a map M is uniquely determined by its effect on a given **flag** (incident vertex-edge-face triple), and it follows that $|\text{Aut } M| \leq 4|E|$ where E is the edge set.

A map M is **regular** if $\text{Aut } M$ is transitive on flags, and is **orientably-regular** (or **rotary**) if the group $\text{Aut}^\circ M$ of all its orientation-preserving automorphisms is transitive on the ordered edges (arcs) of M . A rotary map that is regular must be **reflexible**, and otherwise it is **chiral**.

Platonic solids: regular maps on the sphere

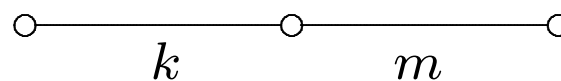


Transitivity, type and triangle groups

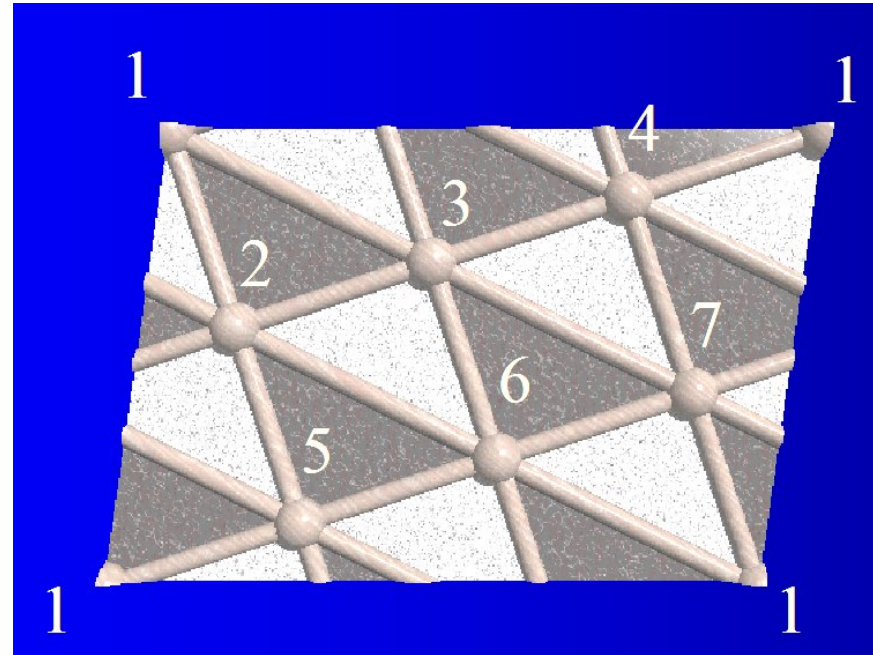
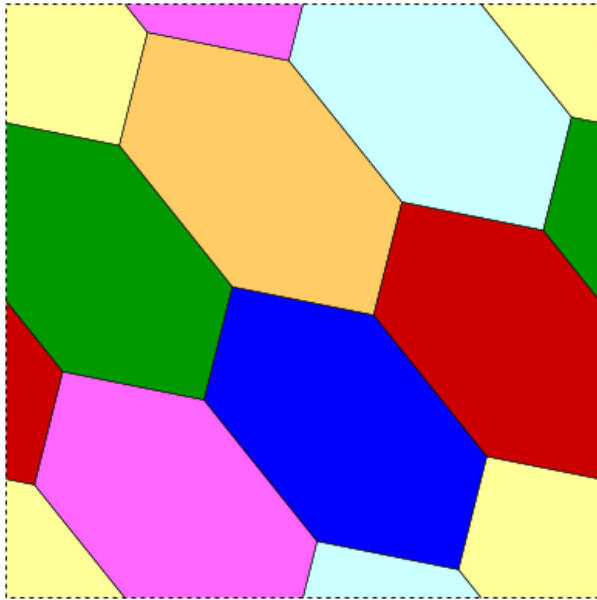
If M is a rotary map, then its underlying graph is vertex-transitive, edge-transitive and face-transitive.

In particular, every face of must have the same number of edges (say k) and every vertex must have the same valency (say m). In this case we say that M has type $\{k, m\}$.

Moreover, $\text{Aut } M$ contains elements R and S that act as single-step rotations about a face and an incident vertex, and satisfy the relations $R^k = S^m = (RS)^2 = 1$, which define the $(2, k, m)$ triangle group — a subgroup of index 2 in the $[k, m]$ Coxeter group

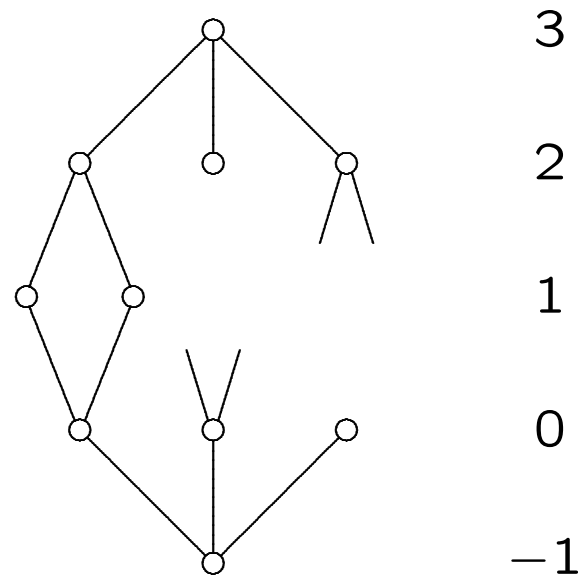


Example: a map of type $\{6, 3\}$ on the torus



This is rotary but **chiral**, and dual to the triangulation of the torus achieved by embedding the complete graph K_7 as a rotary (but chiral) map of type $\{3, 6\}$.

Polytopes



An *abstract polytope* of rank n is a partially ordered set \mathcal{P} endowed with a strictly monotone rank function having range $\{-1, \dots, n\}$. For $-1 \leq j \leq n$, elements of \mathcal{P} of rank j are called the *j -faces*, and a typical j -face is denoted by F_j .

This poset must satisfy certain combinatorial conditions which generalise the properties of geometric polytopes.

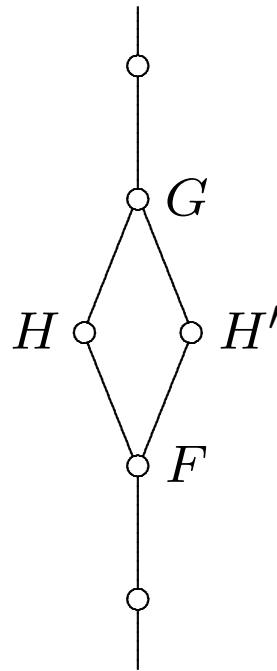
We require that \mathcal{P} have a smallest (-1) -face F_{-1} , and a greatest n -face F_n , and that each maximal chain (or *flag*) of \mathcal{P} has length $n+2$, e.g. $F_{-1} - F_0 - F_1 - F_2 - \dots - F_{n-1} - F_n$.

The faces of rank $0, 1$ and $n - 1$ are called the *vertices*, *edges* and *facets* of the polytope, respectively.

Two flags are called *adjacent* if they differ by just one face.

We require that \mathcal{P} is *strongly flag-connected*, that is, any two flags Φ and Ψ of \mathcal{P} can be joined by a sequence of flags $(\Phi =) \Phi_0, \Phi_1, \dots, \Phi_k (= \Psi)$ such that each two successive faces Φ_{i-1} and Φ_i are adjacent, and $\Phi \cap \Psi \subseteq \Phi_i$ for all i .

Finally, we require the following homogeneity property, which is often called the *diamond condition* :



Whenever $F \leq G$, with $rank(F) = j - 1$ and $rank(G) = j + 1$, there are exactly two faces H of rank j such that $F \leq H \leq G$ [e.g. if $F = \text{vertex}$, $H = 2\text{-face}$, then \exists two incident edges].

A little history

Regular and chiral maps

Heffter (1898), Brahana (1927), Coxeter (1948),
Serk, Garbe, Wilson, Jones, Singerman, ...

Convex geometric polytopes

Various (e.g. Coxeter, Grünbaum, et al)

'Non-spherical' polytopes

Grünbaum (1970s)

Incidence polytopes

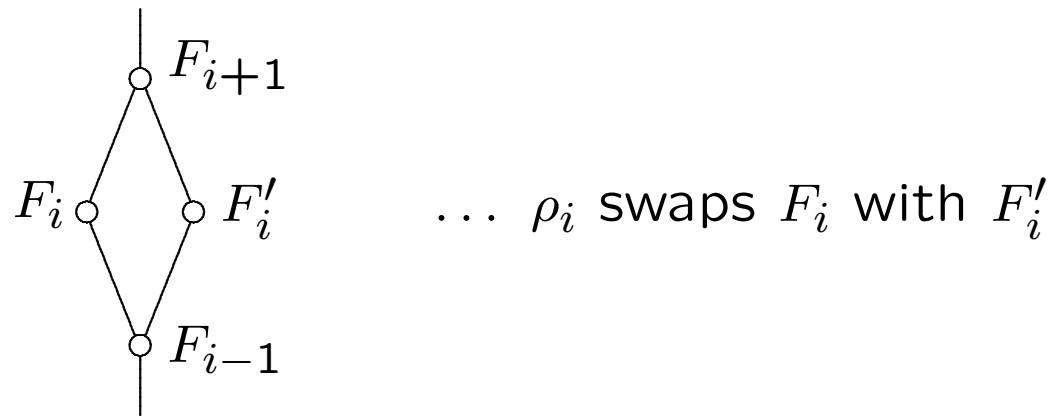
Danzer & Schulte (1983)

Regular & chiral polytopes

Weber & Seifert (1933), Coxeter, Schulte, Weiss,
Nostrand, Hartley, Leemans, Hubbard, Pellicer, ...

An *automorphism* of an abstract polytope \mathcal{P} is an order-preserving bijection $\mathcal{P} \rightarrow \mathcal{P}$. A polytope \mathcal{P} is *regular* if the automorphism group $\text{Aut}(\mathcal{P})$ is transitive on the flags of \mathcal{P} .

When \mathcal{P} is regular, $\text{Aut}(\mathcal{P})$ can be generated by n involutions $\rho_0, \rho_1, \dots, \rho_{n-1}$, where each ρ_i maps a given *base flag* Φ to the *adjacent flag* Φ^i (differing from Φ only in its i -face).



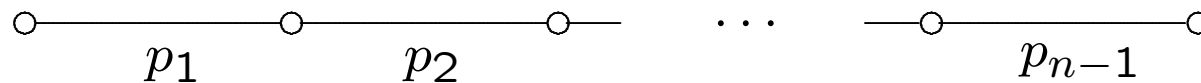
Thus $\text{Aut}(\mathcal{P})$ is a quotient of the *Coxeter group* $[p_1, \dots, p_{n-1}]$, where $p_i = o(\rho_{i-1}\rho_i)$ for $1 \leq i < n$.

Coxeter groups

The **Coxeter group** $[p_1, \dots, p_{n-1}]$ is the group generated by elements x_1, x_2, \dots, x_n subject to the defining relations:

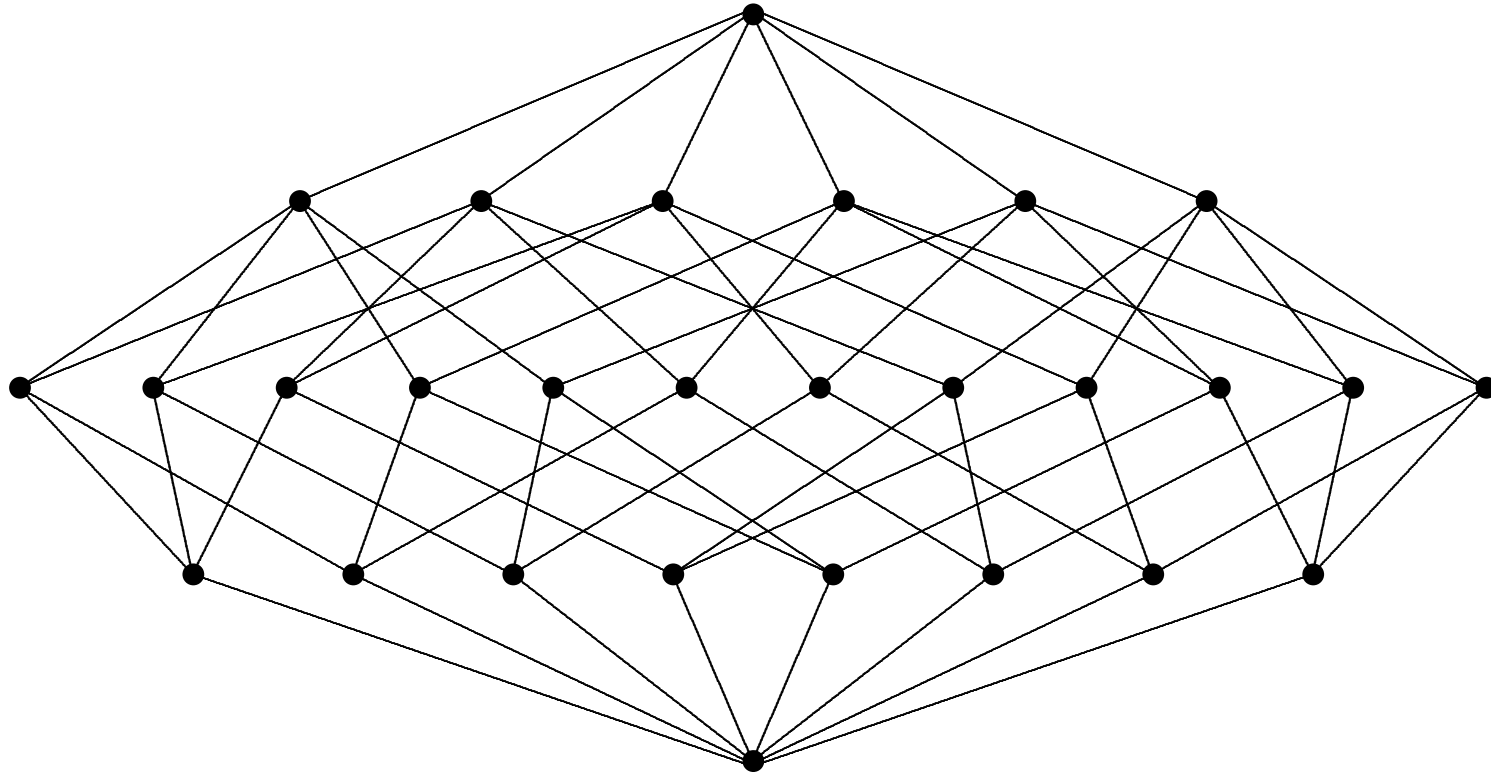
- $x_i^2 = 1$ [Generators are involutions]
- $(x_{i-1}x_i)^{p_i} = 1$ [Orders of products of consecutive pairs]
- $(x_i x_j)^2 = 1$ for $|i-j| > 1$ [Non-consecutive pairs]

This presentation can be represented by the **Dynkin diagram**



The $n-1$ products $x_{i-1}x_i$ generate the ‘even word’ subgroup (which has index 2, and relates to polytope orientability).

Example: the **cube** (a 3-polytope of type $\{3, 4\}$)



$\text{Aut}(Q_3) \cong S_4 \times C_2$ is a quotient of the $[3, 4]$ Coxeter group

Infinite families of **regular polytopes**

There are many families of regular polytopes, including these:

- **Regular n -simplex**, of type $\{3, n-1, 3\}$, autom group S_{n+1}
- **Cross polytope** (or **n -orthoplex**), of type $\{3, n-2, 3, 4\}$
- **n -dimensional cubic honeycomb**, of type $\{4, 3, n-2, 3, 4\}$

[Note: The situation for 'chiral' polytopes is quite different]

Example family: The regular n -simplices

Let $X = \{1, 2, \dots, n+1\}$, and form an abstract n -polytope \mathcal{P} by taking all the subsets of X , ordered by inclusion: vertices are the singletons $\{i\}$, edges are the pairs $\{i, j\}$, etc. Note that the number of flags is $(n+1)n(n-1)\dots 1 = (n+1)!$.

Each inclusion $A \leq B$ with $|B| = |A| + 2$ (say $B = A \cup \{x, y\}$) has two refinements $A \leq A \cup \{x\} \leq B$ and $A \leq A \cup \{y\} \leq B$, so the diamond condition holds.

Moreover, the transposition $\tau = (x, y)$ in S_{n+1} takes any flag of the form $\phi - F_0 - \dots - A - A \cup \{x\} - B - \dots - F_n - X$ to the flag $\phi - F_0 - \dots - A - A \cup \{y\} - B - \dots - F_n - X$, and hence the symmetric group S_{n+1} acts regularly on flags.

This is the regular n -simplex (known in geometry/topology).

Some observations

The automorphism group S_{n+1} is a quotient of the Coxeter group $[3, 3, \dots, 3]$, via an epimorphism taking the generators to the transpositions $\tau_i = (i, i+1)$ for $1 \leq i \leq n$.

Note that $\tau_i \tau_{i+1} = (i, i+1)(i+1, i+2) = (i, i+2, i+1)$ which has **order 3**, while $\tau_i \tau_j = (i, i+1)(j, j+1)$ has **order 2** when $|i-j| > 1$. Hence **the regular n -simplex has type $\{3, 3, \dots, 3\}$** .

Question: What happens if the ‘type’ contains a 2?

- If $(x_i x_{i+1})^2 = 1$ then the Coxeter group is a **direct product** of the Coxeter groups $\langle x_0, \dots, x_i \rangle$ and $\langle x_{i+1}, \dots, x_n \rangle$
- If the type is $\{2, \overset{n-1}{\cdot}, 2\}$, then $\text{Aut}(\mathcal{P})$ is generated by n commuting involutions, so \mathcal{P} has just two i -faces for each i , and **the number of flags is 2^n** — not very interesting.

Construction of new examples

Let G be a **finite group generated by involutions** g_1, g_2, \dots, g_n .

Let $H_i = \langle g_1, g_2, \dots, g_{i-1}, \hat{g}_i, g_{i+1}, \dots, g_n \rangle$ for $1 \leq i \leq n$, and define a ranked poset $\mathcal{P} = \mathcal{P}(G)$ as follows:

- Take as j -faces the right cosets of H_{j+1} in G , for $0 \leq j < n$
- Say that $H_j a \leq H_k b$ if and only if $H_j a \cap H_k b \neq \phi$, for $j \leq k$

Then G acts naturally (by right multiplication) on this poset as a flag-transitive group of order-preserving bijections, and $\mathcal{P}(G)$ is a **polytope** iff G satisfies if the **intersection property**

$$\langle g_i \mid i \in I \rangle \cap \langle g_j \mid j \in J \rangle = \langle g_k \mid i \in I \cap J \rangle \quad \forall I, J \subseteq \{1, 2, \dots, n\}$$

— which is precisely equivalent to the diamond condition.

Recent question [Daniel Pellicer (Oaxaca, June 2010)]

For each $n \geq 3$, what are the regular n -polytopes with the smallest numbers of flags? Call the smallest number M_n .

Answering this question is equivalent to finding the smallest good quotients of n -generator Coxeter groups $[p_1, \dots, p_{n-1}]$ — with ‘good’ meaning that the orders of the generators x_i and their pairwise products $x_i x_j$ are preserved, and the intersection property holds.

Also we may assume that $p_i > 2$ for all i (for otherwise the question is not very interesting).

Small ranks

These results achievable by computation (using MAGMA):

Rank n	$(n+1)!$	Min # flags M_n	Types of polytopes achieving minimum
2	6	6	{3}
3	24	24	{3, 3}, {3, 4}, {4, 3}
4	120	96	{4, 3, 4}
5	720	432	{3, 6, 3, 4}, {4, 3, 6, 3}
6	5040	1728	{4, 3, 6, 3, 4}

Surprisingly(?), the minimum type is not always $\{3, 3, \dots, 3\}$

Is there a **pattern** evident here? Are **extensions** possible?

Two new families

MAGMA computations give also **defining presentations for the automorphism groups** of small examples. Patterns in these give rise to constructions for **two infinite families**:

- A regular n -polytope of type $\{4, 3, 6, 3, 6, 3, 6, \dots, 3, 6, 3\}$,
with $8 \cdot 3^{(n-1)/2} \cdot 6^{(n-3)/2}$ flags, for every **odd** $n > 2$
- A regular n -polytope of type $\{4, 3, 6, 3, 6, 3, 6, \dots, 3, 6, 3, 4\}$,
with $32 \cdot 3^{(n-2)/2} \cdot 6^{(n-4)/2}$ flags, for every **even** $n > 2$.

$$\text{Thus } M_n \leq \begin{cases} 24 \cdot 18^{(n-3)/2} & \text{for } n \text{ odd} \\ 96 \cdot 18^{(n-4)/2} & \text{for } n \text{ even} \end{cases}$$

Are these the best?

We could call a regular n -polytope **tight** if the number of its flags is $2p_1p_2\dots p_{n-1}$, where $\{p_1, p_2, \dots, p_{n-1}\}$ is its type.

All the polytopes constructed in the families above (of types $\{4, 3, 6, 3, 6, \dots, 3, 6, 3\}$ and $\{4, 3, 6, 3, 6, \dots, 3, 6, 3, 4\}$) **are tight**.

Can we prove these give the smallest numbers of flags for all n ? or **are there too many '6's in the type?**

In the course of trying to prove they were the best, another family popped into view ...

Tight regular polytopes of type $\{4,4,\dots,4\}$

There exist regular polytopes of types $\{4,4\}$, $\{4,4,4\}$ and $\{4,4,4,4\}$, with 32, 128 and 512 flags. Closer inspection of these (and their automorphism groups) gives a new family:

For every $n > 2$, take the Coxeter group $[4, n-1, 4]$, with n involutory generators x_1, x_2, \dots, x_n , and add relations of the form $[(x_{i-1}x_i)^2, x_j] = 1$ to make the squares $(x_{i-1}x_i)^2$ all central. This gives a group G whose centre $Z(G)$ is generated by the $n - 1$ involutions $(x_{i-1}x_i)^2$.

In particular, $Z(G)$ and $G/Z(G)$ are elementary abelian, of orders 2^{n-1} and 2^n , so G has order $2^{2n-1} = 2 \cdot 4^{n-1}$. Also the intersection property holds, so G is the automorphism group of a tight regular n -polytope of type $\{4, 4, \dots, 4\}$.

Improved upper bounds on M_n

Tight polytopes of type $\{4, \dots, 4\}$ give $M_n \leq 2 \cdot 4^{n-1}$ for all n .

This is better than our earlier upper bound of $24 \cdot 18^{(n-3)/2}$ for n odd, and $96 \cdot 18^{(n-4)/2}$ for n even, whenever $n > 8$.

Question: Is the bound $M_n \leq 2 \cdot 4^{n-1}$ sharp for all $n > 8$?

Question: We know M_3 to M_6 . What are M_7 and M_8 ?

Key observation

Suppose \mathcal{P} is a regular n -polytope, of type $\{p_1, \dots, p_{n-1}\}$.

Each of the sections of \mathcal{P} is also a regular polytope.

In particular, if A and B are i - and j - faces of \mathcal{P} with $A \leq B$, then the section $[A, B] = \{F \in \mathcal{P} : A \leq F \leq B\}$ is a regular $(j-i-1)$ -polytope. Its automorphism group is $\langle \rho_{i+1}, \dots, \rho_{j-1} \rangle$ where $\rho_0, \rho_1, \dots, \rho_{n-1}$ are the Coxeter generators for $\text{Aut}(\mathcal{P})$.

Next, for any k , let $L_k = \langle \rho_0, \dots, \rho_k \rangle$ and $R_k = \langle \rho_{k-1}, \dots, \rho_{n-1} \rangle$. By the intersection property, $L_k \cap R_k = \langle \rho_{k-1}, \rho_k \rangle \cong D_{p_k}$

so $|\text{Aut}(\mathcal{P})| \geq |L_k R_k| = |L_k| |R_k| / |L_k \cap R_k| = |L_k| |R_k| / |D_{p_k}|$.

It follows that $M_n \geq \frac{M_{k+1} M_{n-k+1}}{2^{p_k}}$ for $1 \leq k \leq n-1$.

As $L_k \cap R_{k+1} = \langle \rho_k \rangle$, also $M_n \geq \frac{M_{k+1} M_{n-k}}{2}$ for $1 \leq k \leq n-2$.

Application

Suppose $M_n = 2 \cdot 4^{n-1}$ for all n in the range $k < n \leq 2k$.

Then

$$M_{2k+1} \geq \frac{M_{k+1}M_{k+1}}{2} = \frac{(2 \cdot 4^k)^2}{2} = 2 \cdot 4^{2k}$$

and similarly

$$M_{2k+2} \geq \frac{M_{k+1}M_{k+2}}{2} = \frac{(2 \cdot 4^k)(2 \cdot 4^{k+1})}{2} = 2 \cdot 4^{2k+1}$$

and so $M_n = 2 \cdot 4^{n-1}$ for all n in the range $k < n \leq 2k + 2$.

This gives a **basis for induction**. We just have to find a k ...

Finding M_n for small $n \geq 7$

With the help of the [LowIndexNormalSubgroups](#) algorithm in MAGMA (applied to Coxeter groups), we can find:

- all regular 3-polytopes with up to 100 flags
- all regular 4-polytopes with up to 300 flags
- all regular 5-polytopes with up to 900 flags
- all regular 6-polytopes with up to 2700 flags.

Then multiple applications of the intersection property show:

- the only regular 7-polytopes with fewer than $2 \cdot 4^6$ flags have type $\{4, 3, 6, 3, 6, 3\}$ or $\{3, 6, 3, 6, 3, 4\}$ (and 7776 flags)
- the only regular 8-polytope with fewer than $2 \cdot 4^7$ flags has type $\{4, 3, 6, 3, 6, 3, 4\}$ (and 31104 flags), and
- for $9 \leq n \leq 16$, the smallest regular n -polytope is a tight one of type $\{4, 4, \dots, 4\}$ (with $2 \cdot 4^{n-1}$ flags).

Example: $n = 9$

Suppose there is a regular 9-polytope of type $\{p_1, p_2, \dots, p_8\}$ with fewer than $2 \cdot 4^8 = 131072$ flags.

By taking the dual if necessary, we can assume that some 5-face F has fewer than $2 \cdot 4^4 = 512$ flags. Then F must have exactly 432 flags and have type $\{3, 6, 3, 4\}$ or $\{4, 3, 6, 3\}$, and its co-5-face must have at most 606 flags, with its type $\{p_5, p_6, p_7, p_8\}$ coming from a known list.

Then the given 9-polytope has type $\{3, 6, 3, 4, p_5, p_6, p_7, p_8\}$ or $\{4, 3, 6, 3, p_5, p_6, p_7, p_8\}$, but from our lists of small regular 6-polytopes we find no 6-section of type $\{3, 4, p_5, p_6, p_7\}$ or $\{6, 3, p_5, p_6, p_7\}$ small enough to give fewer than $2 \cdot 4^8$ flags.

Theorem

For $n \geq 9$, the smallest regular n -polytopes are the tight polytopes of type $\{4, n-1, 4\}$, with $2 \cdot 4^{n-1}$ flags.

For $n \leq 8$, the smallest have the following parameters:

n	M_n	Type(s)
2	6	{3}
3	24	{3, 3}, {3, 4} (and dual {4, 3})
4	96	{4, 3, 4}
5	432	{3, 6, 3, 4} (and dual {4, 3, 6, 3})
6	1728	{4, 3, 6, 3, 4}
7	7776	{3, 6, 3, 6, 3, 4} (and dual {4, 3, 6, 3, 6, 3})
8	31104	{4, 3, 6, 3, 6, 3, 4}.